OSHA Recordkeeping Requirements Understanding Some Common Misconceptions



Contact Information

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Agenda

- Who are you responsible for?
- Definitions
- First Aid vs. Medical Treatment
- Days Away? Restricted? Other?
- Injury vs. Illness
- What is recordable?
- Paperwork
- Optional Topics (time dependent)
 - Open Forum Questions
 - Special Circumstances as defined by OSHA)



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Self Introduction

- Name (what do you want to be called?)
- Title
- ▶ Where you work (not DC Water ☺)
- ▶ Self Assessment of OSHA Standards (1 10)
- Why are you here?
- What do you want to get out of this class?
- Interesting fact that the person next to you does not know.



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Bruce Donato

- Chemical Engineer
- Worked in
 - Chemical industry
 - Textiles
 - R&D, Tech Services
 - Hazardous Waste
 - Shipping
 - Biotech
 - Construction
 - Safety
- CSP, CHMM, CECD

- Officer in 6 Associations
- BSA/GSA
- ARC/AHA/ASHI
- Wilderness Activities
- Married over 30 years
- 2 children (1 married)



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OSHA RECORDKEEPING REQUIREMENTS



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Who are you responsible for?

- Employees on payroll
- Employees not on payroll who are supervised on a day-to-day basis
- Temporary help agencies should not record the cases experienced by temp workers who are supervised by the using firm





Definitions/Rules

- Establishments
- First aid/Medical treatment
- Days away case
- Restricted work case
- Job transfer
- Injury vs. illness
- Pre-existing condition
- How to count days



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Establishments

A physical location where business is conducted or where services or operations are performed.

Distinctly different activities at the <u>same</u> physical location should be treated as <u>separate</u> establishments.



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1st AID

- Using nonprescription medication at nonprescription strength
- Tetanus immunizations
- Cleaning, flushing, or soaking surface wounds
- Wound coverings, butterfly bandages, Steri-Strips



FIRST AID





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1st AID



- Hot or cold therapy
- Non-rigid means of support
- Temporary immobilization device used to transport accident victims
- Drilling of fingernail or toenail, draining fluid from blister
- Eye patches



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1st AID



- Removing foreign bodies from eye using irrigation or cotton swab
- Removing splinters or foreign material from areas other than the eye by irrigation, tweezers, cotton swabs or other simple means



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1st AID



- Finger guards
- Massages
- Drinking fluids for relief of heat stress



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Medical treatment



- The management and care of a patient to combat disease or disorder.
- It does not include:
 - Visits to a PLHCP solely for observation or counseling
 - Diagnostic procedures
 - First aid





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Days away case

- Record if the case involves one or more days away from work
- Check the box for days away cases and count the number of days
- Do not include the day of injury/illness





Days away case

- Day counts (days away or days restricted)
 - Count the number of calendar days the employee was unable to work (include weekend days, holidays, vacation days, etc.)
 - Cap day count at 180 days away and/or days restricted



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Days away case

- Day counts (days away or days restricted)
 - May stop day count if employee leaves company for a reason unrelated to the injury or illness
 - If a medical opinion exists, employer must follow that opinion





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Restricted work case

- Not workday
- Record if the case involves one or more days of restricted work or job transfer
- Check the box for restricted/transfer cases and count the number of days
- Do not include the day of injury/illness



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Job transfer

- An injured or ill employee is assigned to a job other than his or her regular job for part of the day
- A case is recordable if the injured or ill employee performs his or her routine job duties for part of a day and is assigned to another job for the rest of the day



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Injury vs. Illness

An injury or illness is an abnormal condition or disorder.

Injuries include cases such as, but not limited to, a cut, fracture, sprain, or amputation.

Illnesses include both acute and chronic illnesses, such as, but not limited to, a skin disease, respiratory disorder, or poisoning.



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Pre-existing condition

- A pre-existing injury or illness is significantly aggravated when an event or exposure in the work environment results in any of the following (which otherwise would not have occurred):
 - Death
 - Loss of consciousness
 - · Days away, days restricted or job transfer
 - Medical treatment



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WHAT IS RECORDABLE?



- A case is considered work-related if an event or exposure in the work environment either caused or contributed to the resulting condition
- A case is considered work-related if an event or exposure in the work environment significantly aggravated a pre-existing injury or illness



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WHAT IS RECORDABLE?



 Work-relatedness is presumed for injuries and illnesses resulting from events or exposures occurring in the work environment



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WHAT IS RECORDABLE? (New Case?)

- A case is new if:
 - The employee has not previously experienced a recordable injury or illness of the same type that affects the same part of the body





WHAT IS RECORDABLE? (New Case?)

- or a case is new if:
 - The employee previously experienced a recordable injury or illness of the same type that affects the same part of the body, but had recovered completely and an event or exposure in the work environment caused the signs and symptoms to reappear





WHAT IS RECORDABLE? (New Case?)

- If there is a medical opinion regarding resolution of a case, the employer must follow that opinion
- If an exposure triggers the recurrence, it is a new case (e.g., asthma, rashes)
- If signs and symptoms recur even in the absence of exposure, it is <u>not</u> a new case (e.g., silicosis, tuberculosis, asbestosis)



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WHAT IS RECORDABLE? (Exemptions)

- Present as a member of the general public
- Symptoms arising in work environment that are solely due to non-work-related event or exposure
- Voluntary participation in wellness program, medical, fitness or recreational activity
- Eating, drinking or preparing food or drink for personal consumption





WHAT IS RECORDABLE? (Exemptions)

Personal tasks outside assigned working hours

Personal grooming, self medication for nonwork-related condition, or intentionally selfinflicted

Motor vehicle accident in parking lot/access road during commute



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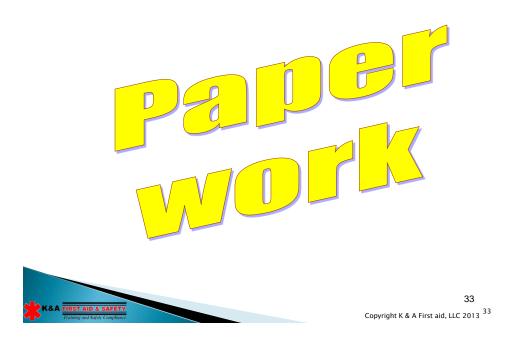
WHAT IS RECORDABLE? (Exemptions)

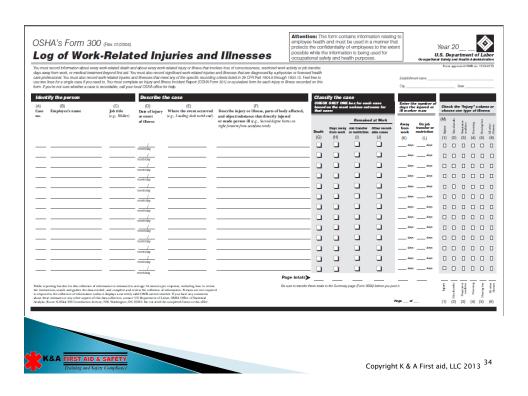
Common cold or flu

Mental illness, unless employee voluntarily provides a medical opinion from a physician or licensed health care professional (PLHCP) having appropriate qualifications and experience that affirms work-relatedness



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OSHA's Form 301 Injury and Illness Incident Report



		Form approved OMS no.1218-0176
	Information about the employee	Information about the case
This layer and Illuen Insides Reports is one of the first forms you must fill out when a recordable work-related injury or illness has occurred. Together with the Lay of Wink-Haded Injuries and Illuens and the accompanying Sunwary, these forms help the employer and OSHA develop a picture of the extent and severity of work-related incidents. Within 7 callender days after your receive information that a recordable work-related injury or illness has occurred, you must fill out this form or an	1) Total name	18 Case number from the Log
equivalent. Some state workers' compensation, insurance, or other reports may be acceptable substitutes. To be considered an equivalent form, any substitute must contain all the information asked for on this form. According to Public Law 91-596 and 29 CFR 1904, CSHA's recordleeping rule, you must keep this form on file for 5 years following the year to	Information about the physician or other health care professional 6 Names/physician or observed to ore professional	11) What happened FTo II us have the injury occurred. Example: "When ladder slipped on wet Boos, worker fell 20 for fr;" Worker was prayed with chlorine when guslet broke during replacement?; "Worker developed areness in wint over time."
which it pertains. If you need additional copies of this form, you may photocopy and use as many as you need.	7) If treatment was given away from the worksite, where was it given? Facility	16) What was the injury or ilness? Tell us the part of the body that was affected and how it was affected; be more specific than "burt," "pain," or sore." Examples: "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."
Completed by	Notest	17) What object or substance directly harmed the employee? Europhy: "concrete floor"; "chlorine"; "redla' orn use." If this question does not apply to the intellect, force at blenk.
Title	9) Was employee hospitalized overnight as an in-patient?	



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Summ	ary of	Work-Re	ated Injuries	and Ilinesses	Year 20 U.S. Department of Occupational Safety and Health Admin
All actablebmants cow	and by Dart 1904 must con	notate this Summery name, was	no work-related injuries or illnesses occurred dur	nor the user Demander to review the Local	Form approved OM II no.
to verify that the entries	are complete and accurate the individual entries you r	e before completing this summar			Establishment information
nad no cases, write "q.". Employees, former employees, and their representatives have the right to review the CSHA Form 300 in its entirety. They also have limited access to the CSHA Form 301 or its equivalent. See 20 CFR Part 1904.35. In OSHA's recordiscenting use, for further details on the access provisions for three forms.			mited access to the OSHA Form 301 or	our ostabilishment name	
ts equivalent. See 29 C	FRPart 1904.35, in OSHA	's recordkeeping rule, for further o	etails on the access provisions for these forms.	Se	treet
Number of C	ases			C	State ZIP
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	away from work	transfer or restriction	cases	Se	tandard Industrial Classification (SIC), if known (e.g., 3715)
(G)	(H)	(1)	(J)	0	
Number of D	lave			N	Jorth American Industrial Classification (NAICS), if known (e.g., 336212)
Total number of da from work		stal number of days of job ansfer or restriction		E II	Employment information (if you don't have these figures, see the theholest on the back of this page to estimate.)
				A	nnual average number of employees
(K)		(L)		Te	otal hours worked by all employees lastyear
Injury and II	lness Types			s	ign here
Total number of				K	nowingly falsifying this document may result in a fine.
Injuries		(4) Poisonings			certify that I have examined this document and that to the best of my
Chin diameter		(5) Hearing loss		kr	nowledge the entries are true, accurate, and complete.
Skin disorders Respiratory conditi	ione	(6) All other illnesse			
respiratory conditi	ions			TO .	onsplany custation Title
			ollowing the year covered by the form.	190	bene Date



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Incident Rates

- ▶ Based on 200,000 hours
- Assumes 2,000 hour work year/employee
- Rate assumes 100 employees



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Incident Rates

To calculate

Total number of recordables X 200,000

Total hours worked

Calculate rate for: 150 employees average 5% OT 10 recordables



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Optional Topics

(time permitting)

Open Forum Questions Special Cases as Defined by OSHA

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Transact and Justice Compliance

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1904.7(b)(6) – Loss of Consciousness

 All work-related cases involving loss of consciousness must be recorded





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1904.7(b)(7) - Significant Diagnosed Injury or Illness

- The following work-related conditions must always be recorded at the time of diagnosis by a PLHCP:
 - Cancer
 - Chronic irreversible disease
 - Punctured eardrum
 - Fractured or cracked bone or tooth



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1904.8 - Bloodborne Pathogens

Record all work-related needlesticks and cuts from sharp objects that are contaminated with another person's blood or other potentially infectious material (includes human bodily fluids, tissues and organs; other materials infected with HIV or HBV such as laboratory cultures)



 Record splashes or other exposures to blood or other potentially infectious material if it results in diagnosis of a bloodborne disease or meets the general recording criteria



1904.9 - Medical Removal

- If an employee is medically removed under the medical surveillance requirements of an OSHA standard, you must record the case
- The case is recorded as either one involving days away from work or days of restricted work activity
- If the case involves voluntary removal below the removal levels required by the standard, the case need not be recorded





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1904.10 - Hearing Loss

- Must record all work-related hearing loss cases where:
 - Employee has experienced a Standard Threshold Shift (STS)¹, and
 - Employee's hearing level is 25 decibels (dB) or more above audiometric zero [averaged at 2000, 3000, and 4000 hertz (Hz)] in the same ears as the STS

¹ An STS is defined in OSHA's noise standard at 29 CFR 1910.95(g)(10)(i) as a change in hearing threshold, relative to the baseline audiogram, of an average of 10 dB or more at 2000, 3000, and 4000 Hz in one or both ears.

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FIRST AID & SAFETY

Training and Safety Compliance

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1904.10 - Hearing Loss (cont'd)

- Must compute the STS in accordance with OSHA's noise standard, 1910.95
- Compare employee's current audiogram to the original baseline audiogram or the revised baseline audiogram allowed by 1910.95(g)(9)
- May adjust for aging to determine whether an STS has occurred using tables in Appendix F of 1910.95
- May not adjust for aging to determine whether or not hearing level is 25 dB or more above audiometric zero



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1904.11 - Tuberculosis

- Record a case where an employee is exposed at work to someone with a known case of active tuberculosis, and subsequently develops a TB infection
- A case is not recordable when:
 - The worker is living in a household with a person who is diagnosed with active TB
 - The Public Health Department has identified the worker as a contact of an individual with active TR
 - A medical investigation shows the employee's infection was caused by exposure away from work





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Subpart E – Reporting Information to the Government

- ▶ 1904.39 Fatality and catastrophe reporting
- ▶ 1904.40 Access for Government representatives
- ▶ 1904.41 OSHA Survey
- ▶ 1904.42 BLS Survey





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1904.39 - Fatality/Catastrophe Reporting

- Report orally within 8 hours any work-related fatality or incident involving 3 or more in-patient hospitalizations
- Do not need to report highway or public street motor vehicle accidents (outside of a construction work zone)
- Do not need to report commercial airplane, train, subway or bus accidents



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1904.35 - Employee Involvement

- Must provide limited access to injury and illness records to employees, former employees and their personal and authorized representatives
 - Provide copy of OSHA Form 300 by end of next business day
 - Provide copy of OSHA Form 301 to employee, former employee or *personal* representative by end of next business day
 - Provide copies of OSHA Form 301 to authorized representative within 7 calendar days. Provide only "Information about the case" section of form



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1904.29 - Privacy Protection

- Do not enter the name of an employee on the OSHA Form 300 for "privacy concern cases"
- Enter "privacy case" in the name column
- Keep a separate confidential list of the case numbers and employee names





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1904.29 - Privacy Protection

- Privacy concern cases are:
 - An injury or illness to an intimate body part or reproductive system
 - An injury or illness resulting from sexual assault
 - Mental illness
 - HIV infection, hepatitis, tuberculosis
 - Needlestick and sharps injuries that are contaminated with another person's blood or other potentially infectious material
 - Employee voluntarily requests to keep name off for other illness cases



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1904.29 - Privacy Protection

- Employer may use discretion in describing the case if employee can be identified
- If you give the forms to people not authorized by the rule, you must remove the names first
 - Exceptions for:
 - Auditor/consultant,
 - Workers' compensation or other insurance
 - Public health authority or law enforcement agency



1904.32 - Annual Summary

- A company executive must certify the summary:
 - An owner of the company
 - An officer of the corporation
 - The highest ranking company official working at the establishment, or
 - His or her supervisor
- Must post for 3-month period from February 1 to April 30 of the year following the year covered by the summary





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1904.33 - Retention and Updating

- Retain forms for 5 years following the year that they cover
- Update the OSHA Form 300 during that period
- Need not update the OSHA Form 300A or OSHA Form 301



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Partial Exemption

- Employers that are partially exempt from the recordkeeping requirements because of their size or industry must continue to comply with:
 - 1904.39, Reporting fatalities and multiple hospitalization incidents
 - 1904.41, Annual OSHA injury and illness survey (if specifically requested to do so by OSHA)
 - 1904.42, BLS Annual Survey (if specifically requested to do so by BLS)



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1904.1 - Size Exemption

- If your company had 10 or fewer employees at all times during the last calendar year, you do not need to keep the injury and illness records unless surveyed by OSHA or BLS
- The size exemption is based on the number of employees in the entire company
- Include temporary employees who you supervised on a day to day basis in the count



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1904.2 - Industry Exemption

- All industries in agriculture, construction, manufacturing, transportation, utilities and wholesale trade sectors are covered
- In the retail and service sectors, some industries are partially exempt
- Appendix A to Subpart B lists partially exempt industries







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1904.30 - Multiple Business Establishments

- Keep a separate OSHA Form 300 for each establishment that is expected to be in operation for more than a year
- May keep one OSHA Form 300 for all short-term establishments
- Each employee must be linked with one establishment





1904.35 - Employee Involvement

- You must inform each employee of how to report an injury or illness
 - Must set up a way for employees to report work-related injuries and illnesses promptly; and
 - Must tell each employee how to report work-related injuries and illnesses to you





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1904.40 - Providing Records to Government Representatives

- Must provide copies of the records within 4 business hours
- Use the business hours of the establishment where the records are located







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1904.5 - Travel Status

An injury or illness that occurs while an employee is on travel status is workrelated if it occurred while the employee was engaged in work activities in the interest of the employer



- Home away from home
- Detour for personal reasons is not work-related



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1904.5 - Work at Home

- Injuries and illnesses that occur while an employee is working at home are workrelated if they:
 - occur while the employee is performing work for pay or compensation in the home, and
 - are directly related to the performance of work rather than the general home environment

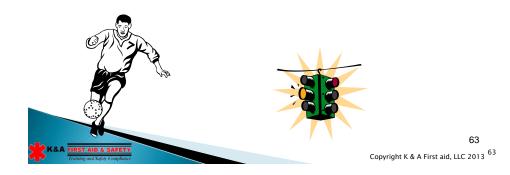




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PARKING LOTS/EXERCISE ROOMS, REC. CLUBS

- Motor vehicle accident in parking lot/access road during commute
- Voluntary participation in wellness program, medical, fitness or recreational activity



1904.37 - State Plans

- State Plan States must have the same requirements as Federal OSHA for determining which injuries and illnesses are recordable and how they are recorded
- For other Part 1904 requirements, State Plan requirements may be more stringent
- ▶ 1952.4 has been modified to reflect these concepts



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